



Under The Paperwork Reduction Act Of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/21 (02-04)  
Approved For Use Through 07/31/2006. Omb 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Complete if Known	
		Application Number	09/539,132
		Filing Date	March 30, 2000
		First Named Inventor	McDonough
		Art Unit	3624
		Examiner Name	Felten
Total Number of Pages In This Submission	89	Attorney Docket Number	CMCDO.00001

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Inquiry
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) ( <i>please identify below</i> ):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<input type="checkbox"/> Assignment Papers ( <i>for an Application</i> )		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Rudolph J. Buchel Jr. (Reg. No. 43,448) P.O. Box 702526 Dallas, Texas 75370-2526
Signature	
Date	October 13, 2004

CERTIFICATION OF TRANSMISSION		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Rudolph J. Buchel Jr.	
Signature		Date October 13, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



3624  
JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/539,132 Confirmation No. : 8936  
Applicant : McDonough  
Filed : 3/30/2000  
TC/AU : 3624  
Examiner : Felten  
Docket Number : CMCD0.00001  
Customer No. : 41498  
Re : Mechanism and Business Method for Implementing a Service  
Contract Futures Exchange

MS Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NON-FINAL OFFICE ACTION

Sir:

No fees are believed to be necessary at this. However, in the event that any fees are required for the prosecution of this application, please notify the undersigned. No extension of time is believed necessary other than for one month which is hereby requested.

In response to the Office action of *September 23, 2004*, please amend the above-identified application as follows:

**Amendments to the Specification** begin on **page 2** of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on **page 7** of this paper.

**Remarks/Arguments** begin on **page 71** of this paper.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(A)

I hereby certify this correspondence, paper and/or fee is being deposited with the United States Postal Service as "First Class Mail" to addressee under 37 C.F.R. 1.8(a) in an envelope addressed to: MS **Amendment** Commissioner for Patents  
P. O. Box 1450 Alexandria, VA 22313-1450.

on **October 13, 2004**

by

Rudolph J. Buchel, Jr.